

BARINGS

# Who We Are

Barings is a **global financial services firm** dedicated to meeting the evolving investment and capital needs of our clients. We provide **innovative solutions** and access to differentiated opportunities across public and private capital markets.

A subsidiary of **MassMutual**, we have the financial stability and flexibility to take a long-term approach.

# Barings Overview

\$346+ B

**ASSETS UNDER MANAGEMENT**

1,100+

**EXTERNAL CLIENTS<sup>1</sup>**

1,900+

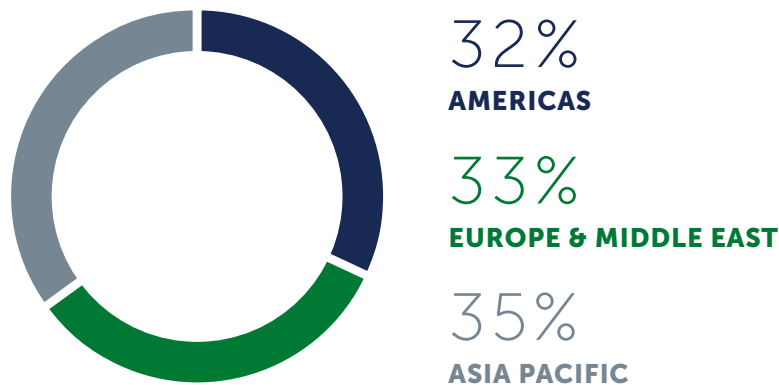
**PROFESSIONALS GLOBALLY**

## Our Global Reach

Barings' **global footprint** gives us a broader perspective, access to a diverse set of opportunities and the ability to truly partner with our clients to invest across global markets.



## External AUM by Region<sup>2</sup>



1. Number of clients excludes structured funds and mutual funds.

2. Includes third party, external AUM only.

All figures are as of June 30, 2020 unless otherwise indicated. Assets shown are denominated in USD.

# Global Investment Capabilities

Barings leverages its **depth and breadth of expertise** across public and private markets to help meet our clients' evolving investment needs.

## Public

### PUBLIC FIXED INCOME<sup>1</sup>

Provides access to strategies ranging from investment grade to high yield across developed and emerging markets

#### INVESTMENT GRADE

**\$131.7 B AUM**

#### HIGH YIELD BONDS AND LOANS<sup>3</sup>

**\$53.9 B AUM**

#### STRUCTURED CREDIT

**\$15.8 B AUM**

#### DEVELOPED & EMERGING SOVEREIGN DEBT

**\$8.6 B AUM**

### PUBLIC EQUITIES & MULTI ASSET<sup>2</sup>

Aims to deliver superior risk-adjusted returns through fundamental analysis and high-conviction, high-active share solutions

#### GLOBAL EQUITIES

**\$2.8 B AUM**

#### EMERGING MARKETS EQUITIES

**\$6.3 B AUM**

#### SMALL CAP EQUITIES

**\$2.6 B AUM**

#### MULTI ASSET

**\$4.3 B AUM**

## Private

### PRIVATE CREDIT

Offers a diverse range of private debt financing solutions by partnering with our broad industry network

#### GLOBAL PRIVATE FINANCE

**\$14.2 B AUM**

#### INFRASTRUCTURE & PRIVATE PLACEMENTS

**\$40.1 B AUM**

### REAL ESTATE<sup>4</sup>

Provides a broad spectrum of solutions across private real estate debt and equity

#### REAL ESTATE DEBT<sup>5</sup>

**\$36.1 B AUM**

#### REAL ESTATE EQUITY

**\$14.4 B AUM**

### PRIVATE EQUITY<sup>4</sup>

Leverages our global presence in an effort to identify unique risk-adjusted return opportunities

#### DIRECT PRIVATE EQUITY

**\$1.6 B AUM**

#### FUNDS & CO-INVESTMENTS

**\$3.2 B AUM**

### MULTI STRATEGY

Utilize our expansive asset market coverage to offer solutions such as income, target return and absolute return

1. Excludes the Korean fixed income strategy, which has \$2.3 billion in AUM.

2. Excludes the Korean domestic equities strategy, which has \$7.7 billion in AUM and other equities of \$1.1 billion.

3. Includes the EM Corporate Debt strategy, which has \$4.0 billion in AUM.

4. Projected AUM figures.

5. Includes real estate debt assets that are managed as part of affiliated fixed income portfolios.

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# Barings' Commitment to Sustainability

## HOW WE THINK ABOUT SUSTAINABILITY: OUR THREE PILLARS



### ESG INTEGRATION

**Environmental, Social & Governance (ESG)** is embedded within—rather than overlaid upon—the research and investment process across asset classes



### CORPORATE SOCIAL RESPONSIBILITY

**Barings Social Impact** mobilizes private capital to achieve positive outcomes in underserved communities—**through four key pillars**:

(1) Diversity, Equity and Inclusion (2) Empowering Communities (3) Education and Financial Literacy and (4) Improving Health and Wellness



### ACTIVE OWNERSHIP

We believe in **engaging constructively** with the companies in which we invest—and **vote proxies** on behalf of our advisory clients

## HOW WE GOVERN AND DEVELOP OUR SUSTAINABILITY FRAMEWORK



### BUSINESS OVERSIGHT

Our **Executive Leadership Team** and regional leadership teams are regularly updated and actively engaged—which provides **oversight** and **accountability** at the highest levels of the organization



### INDUSTRY PARTNERS

We are a **signatory to the UNPRI** (United Nations Principles for Responsible Investment). We are a **member of the UNGC** (United Nations Global Compact)—and **work to implement the 17 UNSDGs** (United Nations Sustainable Development Goals)



### DEDICATED TEAM

Our platform is overseen by our **Director of Sustainability**, who works alongside our **ESG Working Group**—which represents an advisory group of 30+ professionals across the firm's business units

For our global Charlotte headquarters, Barings achieved a **LEED gold certification** in addition to a **BREEAM excellent rating** for our London office.

*Barings is a \$346+ billion\* global financial services firm dedicated to meeting the evolving investment and capital needs of our clients and customers. Through active asset management and direct origination, we provide innovative solutions and access to differentiated opportunities across public and private capital markets.*

*A subsidiary of MassMutual, Barings maintains a strong global presence with business and investment professionals located across North America, Europe and Asia Pacific.*

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\*As of June 30, 2020

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